

OLIVER WYMAN

Oliver Wyman permits, excerpt from UK FSA website.

Country	Directive
SWEDEN	IMD Outward Service
Activity Name	
Insurance Mediation or Reinsurance Mediation	
Country	Directive
SWEDEN	MIFID Outward Service
Activity Name	
A(1) Reception and transmission of orders in relation to one or more financial instruments <u>Investment Instrument</u> C(1) Transferable securities C(2) Money-market instruments C(3) Units in collective investment undertakings C(4) Options, futures, swaps, forward rate agreements and any other derivative contracts ...	
A(2) Execution of orders on behalf of clients <u>Investment Instrument</u> C(1) Transferable securities C(2) Money-market instruments C(3) Units in collective investment undertakings C(4) Options, futures, swaps, forward rate agreements and any other derivative contracts ...	
A(5) Investment advice <u>Investment Instrument</u> C(1) Transferable securities C(2) Money-market instruments C(3) Units in collective investment undertakings C(4) Options, futures, swaps, forward rate agreements and any other derivative contracts ...	
B(3) Advice to undertakings on capital structure, industrial strategy and related matters and advice and services relating to mergers and the purchase of undertakings <u>Investment Instrument</u> C(1) Transferable securities C(2) Money-market instruments C(3) Units in collective investment undertakings C(4) Options, futures, swaps, forward rate agreements and any other derivative contracts ...	

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GUY CARPENTER OLIVER WYMAN

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B(4) Foreign exchange services where these are connected to the provision of investment services

Investment Instrument

C(1) Transferable securities

C(2) Money-market instruments

C(3) Units in collective investment undertakings

[C\(4\) Options, futures, swaps, forward rate agreements and any other derivative contracts ...](#)

B(5) Investment research and financial analysis or other forms of general recommendation relating to transactions in financial instruments

Investment Instrument

C(1) Transferable securities

C(2) Money-market instruments

C(3) Units in collective investment undertakings

[C\(4\) Options, futures, swaps, forward rate agreements and any other derivative contracts ...](#)

B(6) Services related to underwriting

Investment Instrument

C(1) Transferable securities

C(2) Money-market instruments

C(3) Units in collective investment undertakings

[C\(4\) Options, futures, swaps, forward rate agreements and any other derivative contracts ...](#)